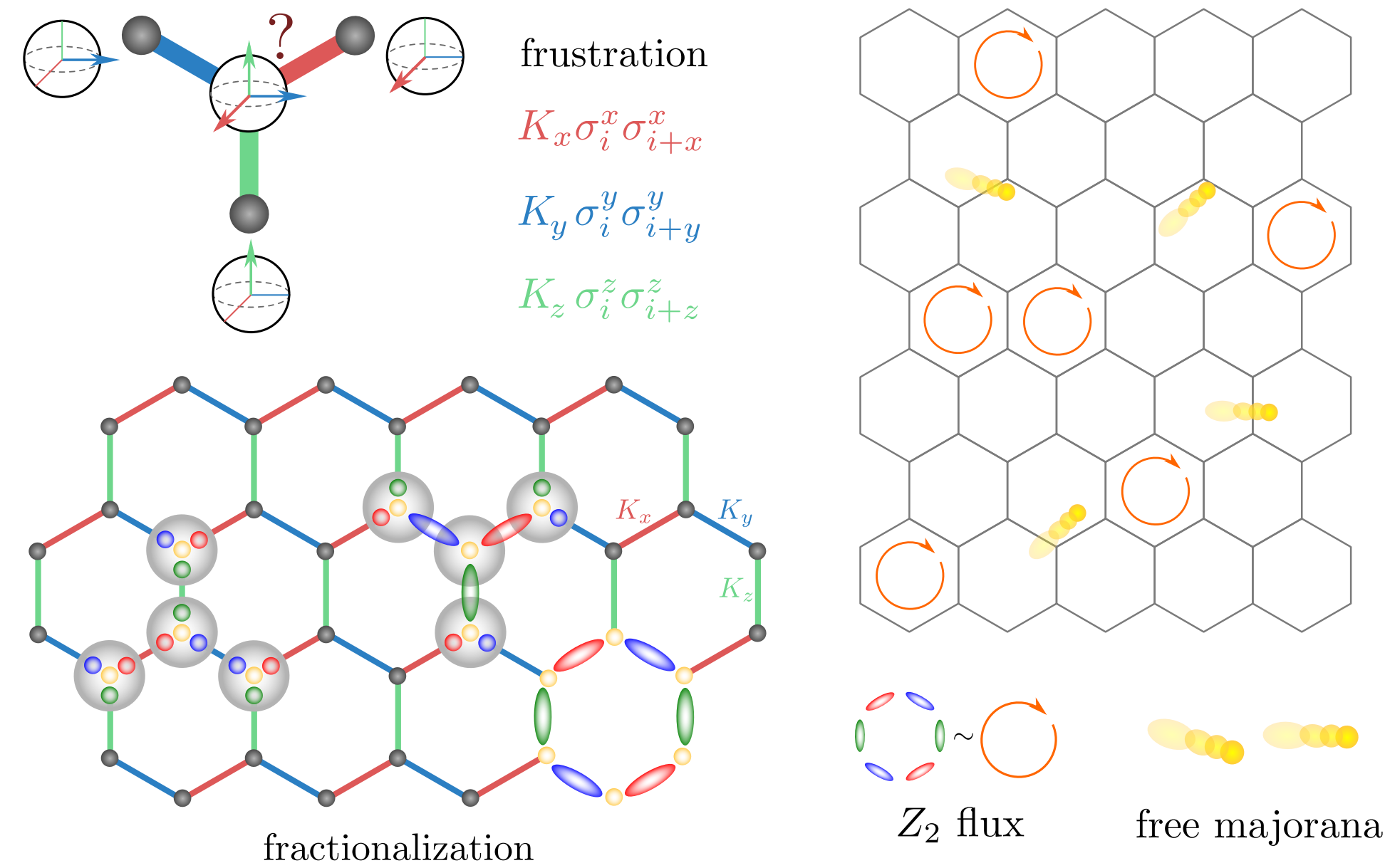
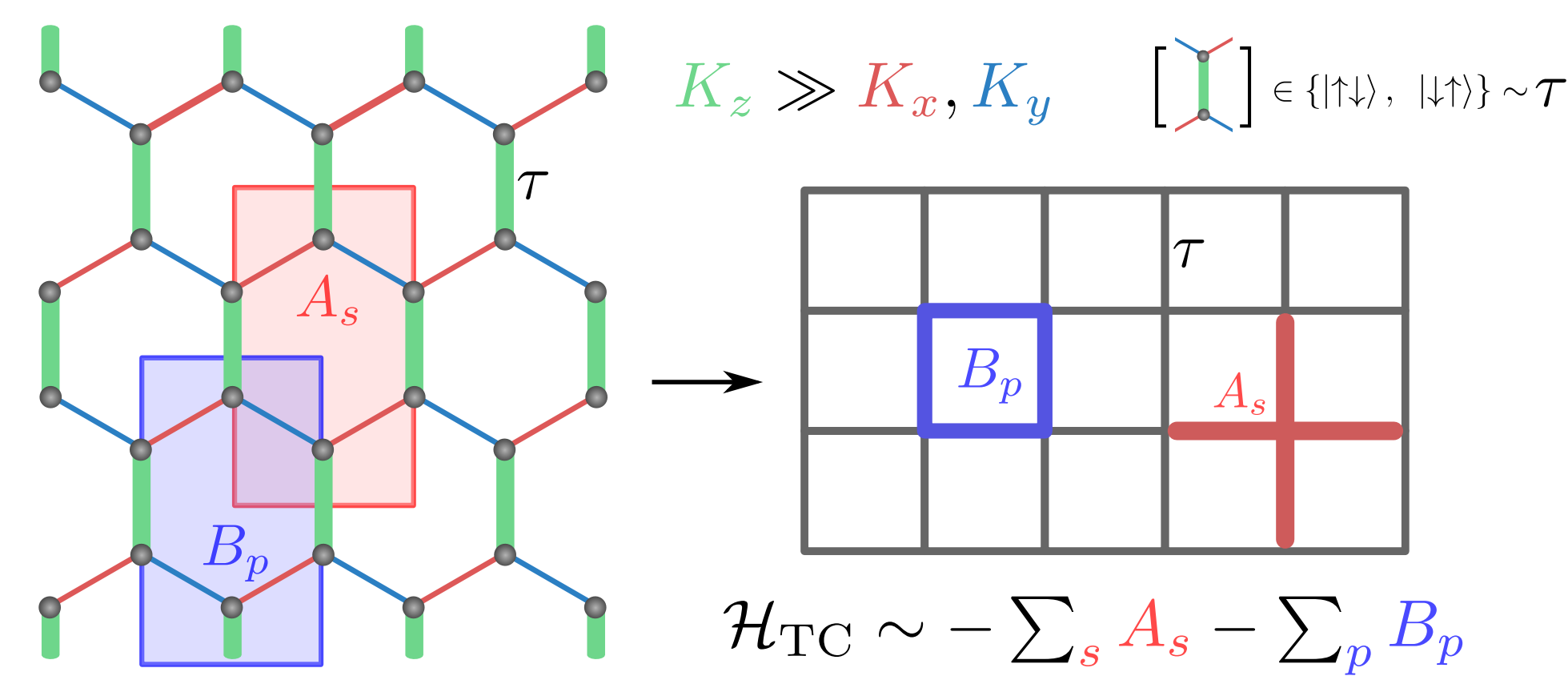


## INTRODUCTION



The bond-dependent exchange in the Kitaev model leads to frustrated interaction. Each spin fractionalizes into four partons, giving rise to a

## $Z_2$ gauge structure and free majoranas

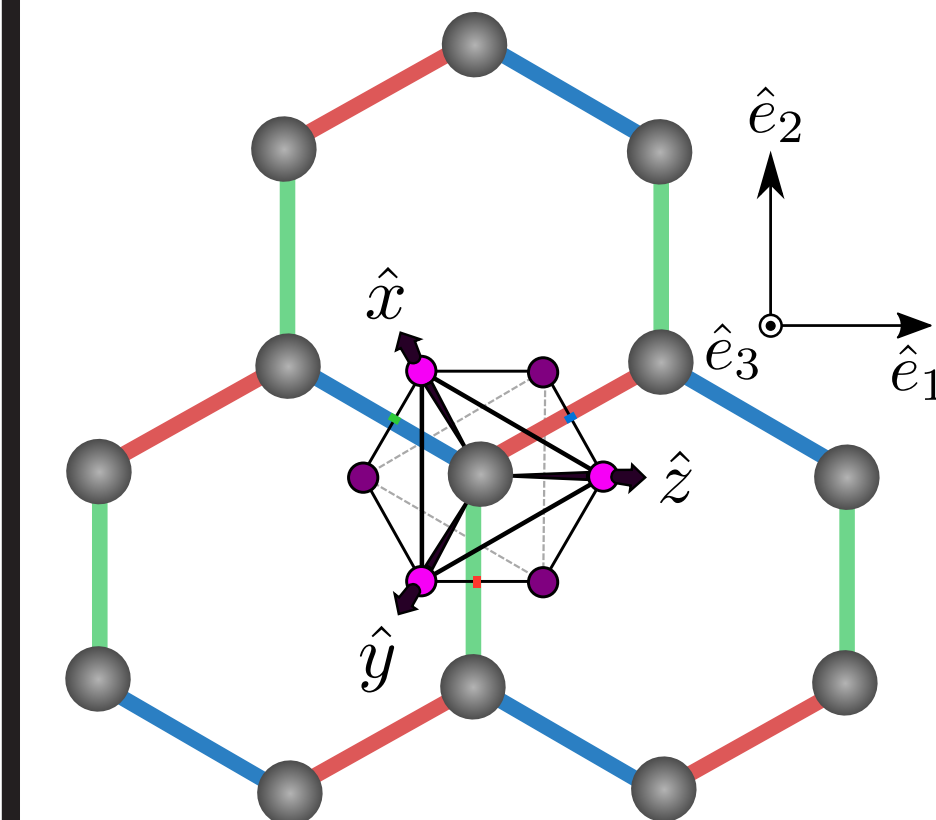


At the anisotropic limit, e.g.  $K_z \gg K_x, K_y$ , majoranas are highly gapped. The model becomes the  $Z_2$  Toric code (TC) at the low energy sector, with  $A_s = \prod_{i \in s} \tau_i^x$  and  $B_p = \prod_{i \in p} \tau_i^z$ .

## OBJECTIVES

Phases and characteristic dynamics induced by external field along different directions and varying energy scales of anisotropic exchange interactions, i.e. as a function of  $(\vec{h}, K_z)$ :

$$\mathcal{H} = K \sum_{i, \alpha \in \{x, y\}} \sigma_i^\alpha \sigma_{i+\alpha}^\alpha + K_z \sum_i \sigma_i^z \sigma_{i+z}^z - \vec{h} \cdot \sum_i \vec{\sigma}_i$$



Focus on directions:

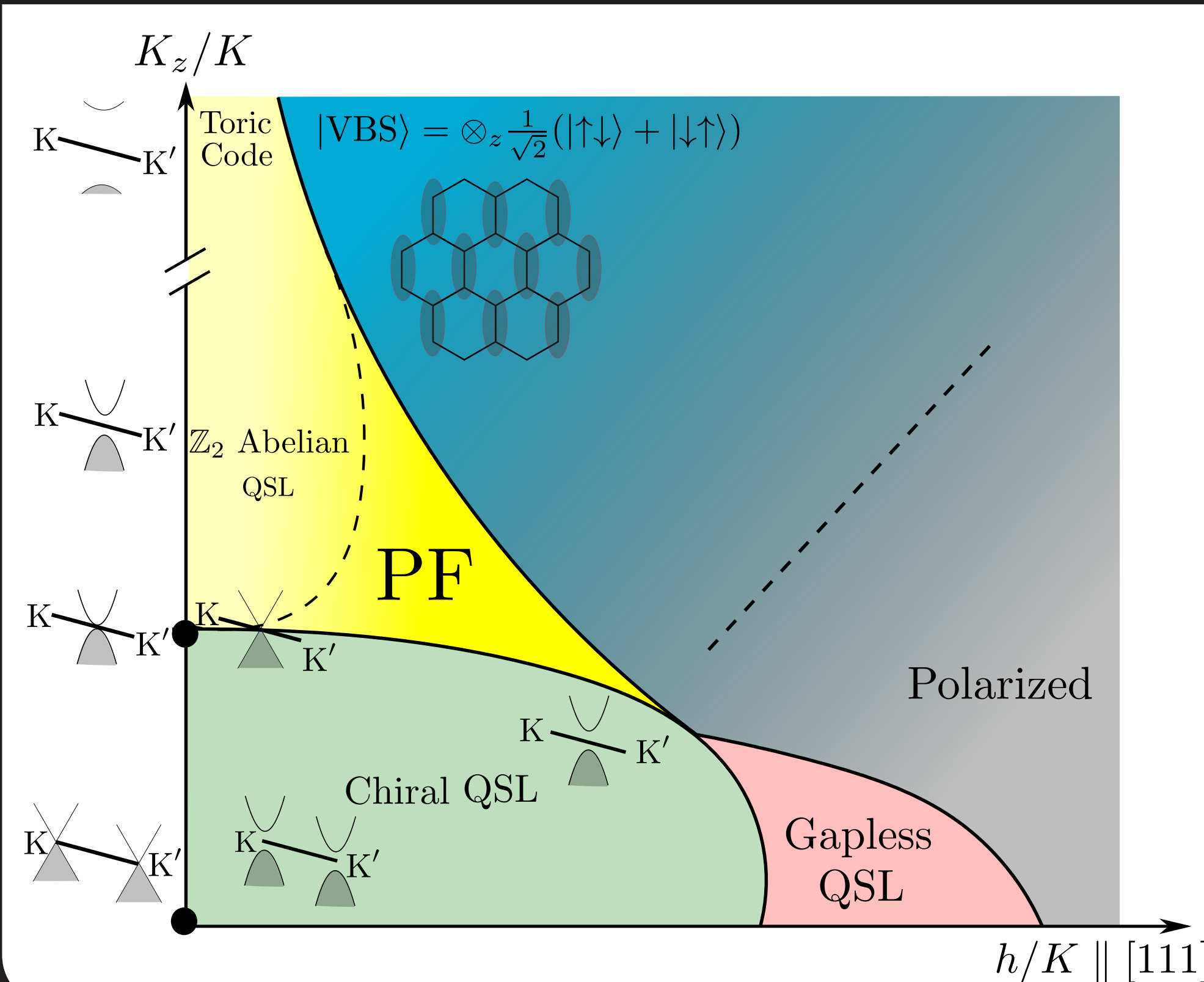
$$\vec{h} \text{ in } [111] \parallel \hat{e}_3$$

$$\vec{h} \text{ in } [001] \parallel \hat{z}$$

with varying antiferromagnetic exchange  $K_z, K > 0$ .

In  $\text{RuCl}_3$ , [001] points from Ru to its Cl ligand.

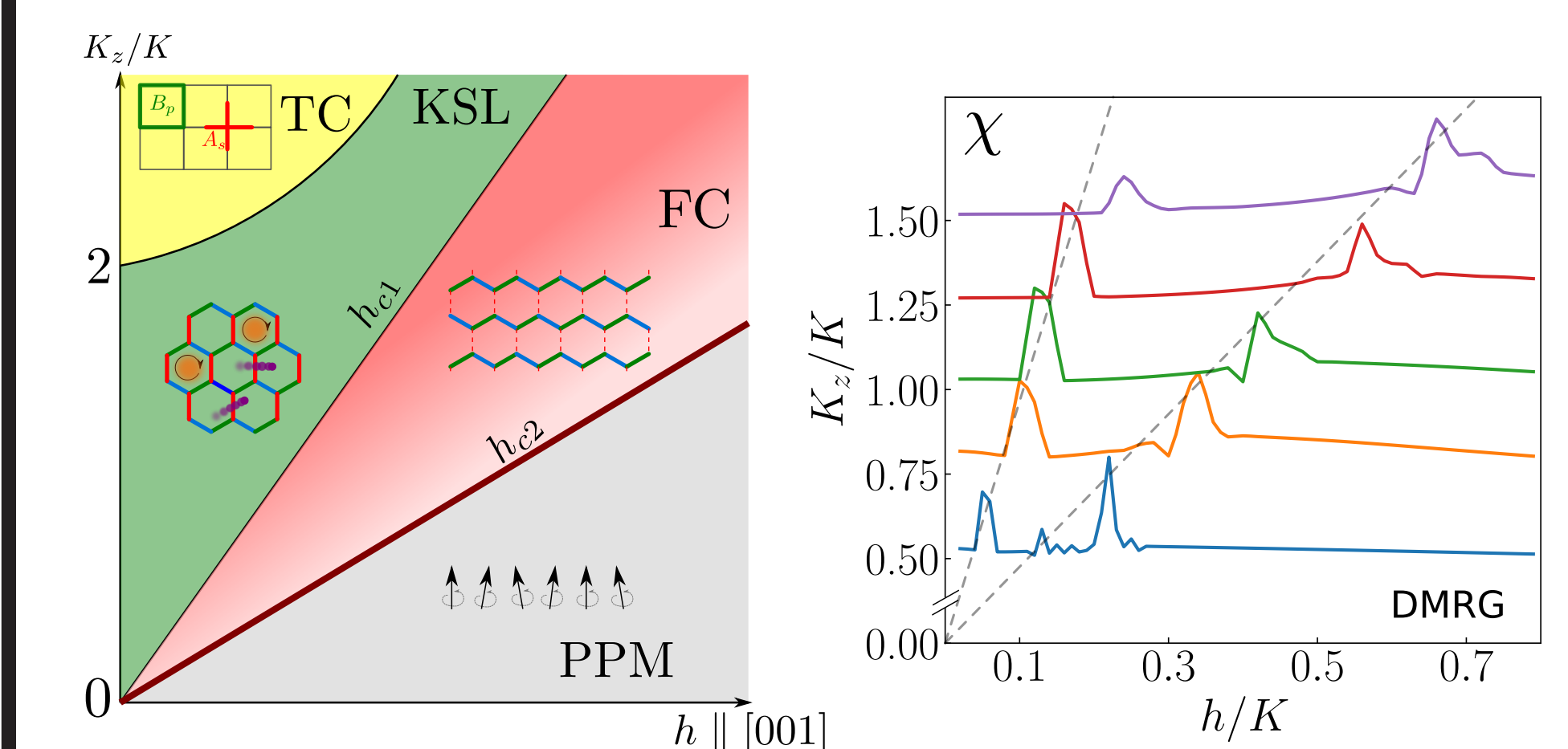
## KITAEV MODEL UNDER $\vec{h} \parallel [111]$



PHASES	EXCITATIONS OF KITAEV MODEL UNDER [111] FIELD
KQSL	Gapless majoranas Gapped $Z_2$ fluxes
CSL	Majoranas at $\sim h^3/K$ Gapped non-Abelian anyons
Abelian QSL	Abelian anyons [1, e, m, $\epsilon$ ] Only $\epsilon$ disperses (in 1D)
VBS	Gapped $( \uparrow\downarrow\rangle -  \downarrow\uparrow\rangle)$ at $\sim h^2/K_z$ Gapped $( \uparrow\uparrow\rangle,  \downarrow\downarrow\rangle)$ at $\sim K_z/K$
Gapless QSL	Neutral Fermi surface of fermions
Polarized	Spin waves at $\sim h/K$
PF*	Damped $\epsilon$ fermions Gapped hybridized fermions

## ISING CRITICALITY BY $\vec{h} \parallel [001]$

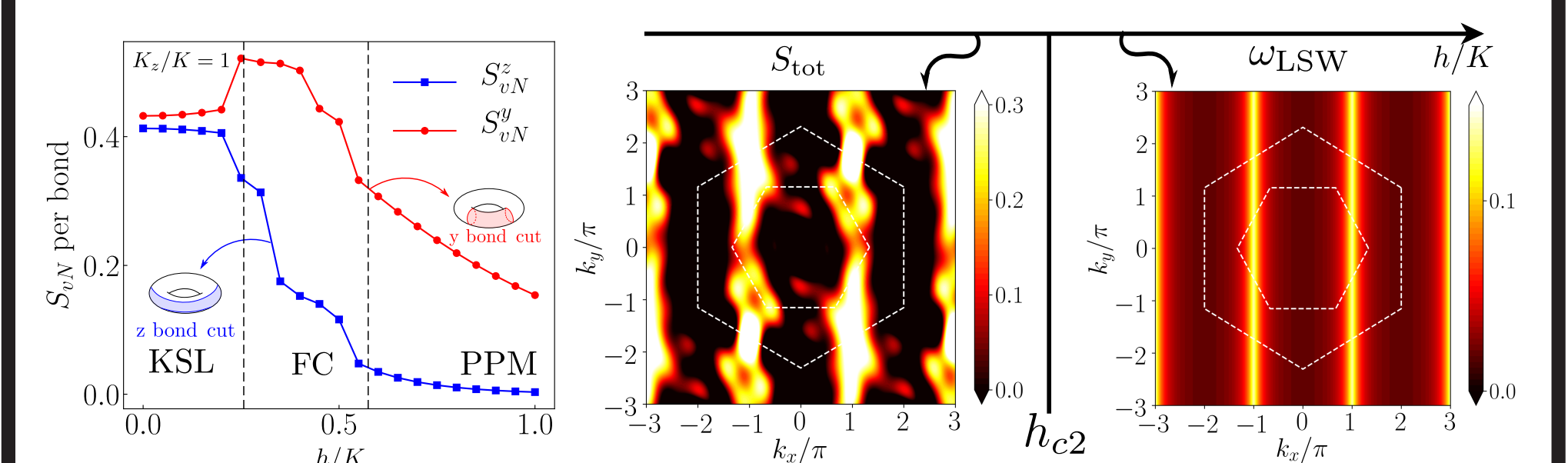
Under [001] field, the intermediate gapless phase becomes much larger, the effective dimension is reduced, and the phase boundary at  $h_{c2}$  features decoupled Ising critical chains.



By parton mean field theory for free fermion  $f$  and bond fermion  $\chi_z$ , z-bond exchange reads

$$K_z (b_{i,A}^z b_{i,A+\hat{z}}^z c_{i,A} c_{i,A+\hat{z}}) \simeq K_z (2n_i^f - 1)(1 - 2n_i^z)$$

$$\lim_{h \rightarrow h_{c2}^-} n_i^z = \frac{1}{2} \Rightarrow \text{decoupled compass chains}$$



At  $h = h_{c2}$  where  $K_z$  effectively vanishes, a compass chain is mapped to Ising critical point

$$\mathcal{H} \simeq \sum_i \sigma_i^x \sigma_{i+1}^x + \sigma_{i+1}^y \sigma_{i+2}^y \sim - \sum_i \tilde{\sigma}_i^z \tilde{\sigma}_{i+1}^z - \tilde{\sigma}_i^x$$

Weak coupling in FC is captured by  $\mathcal{H}(\text{FC})$

$$\sum_{\alpha=L,R} \int dx \gamma_\alpha \partial_x \gamma_\alpha - \tilde{h} \int dx (\gamma_L \partial_x \gamma_L) (\gamma_R \partial_x \gamma_R)$$

which remains gapless until an  $\tilde{h}_c > 0$ .

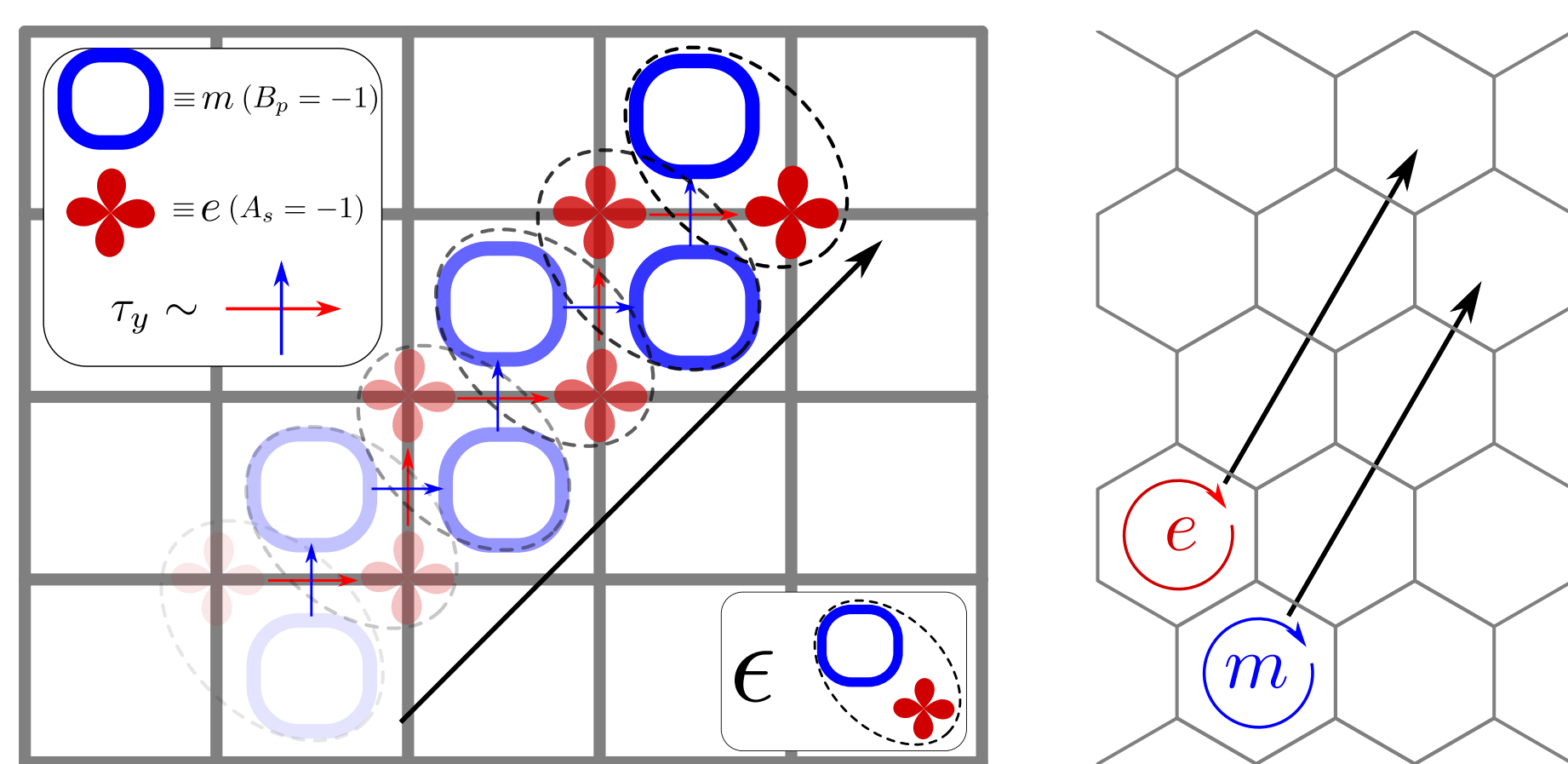
## DYNAMICS OF $\epsilon$ ANYON

At  $K_z/K \gg 2$ , the second order perturbation in [111] field gives the effective Hamiltonian:

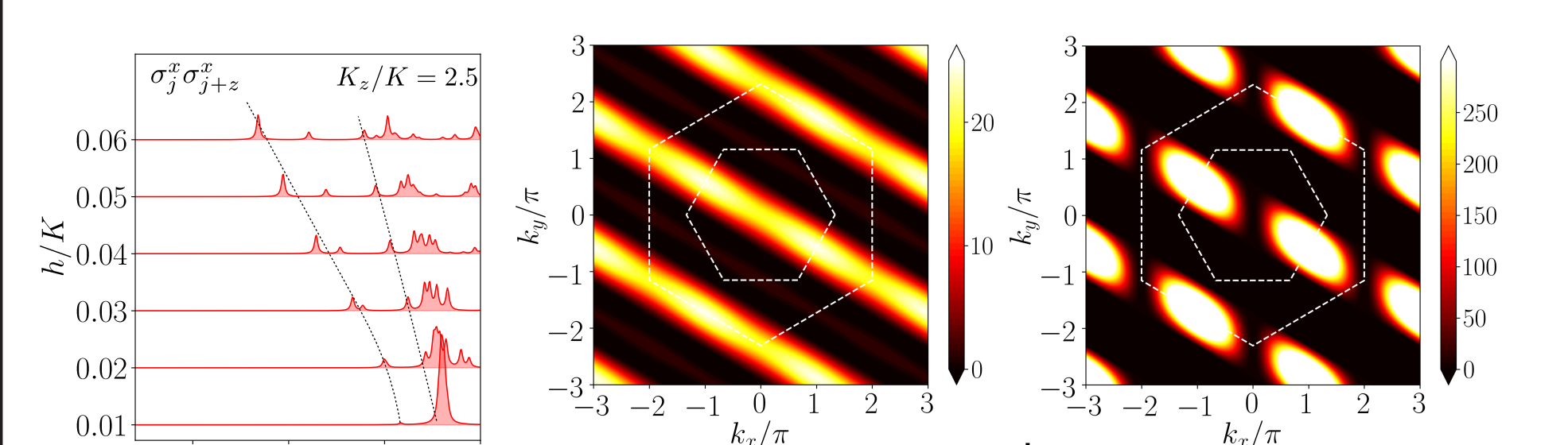
$$\mathcal{H} \simeq -J_{\text{TC}} \left[ \sum_s A_s + \sum_p B_p \right] - \frac{h^2}{K_z} \sum_i \tau_i^y$$

Only  $\epsilon$  anyon disperses in fixed directions [1]:

$$\epsilon(\vec{k}) = 4J_{\text{TC}} - \frac{4h^2}{K_z} \cos\left(\frac{\sqrt{3}}{2}k_x + \frac{3}{2}k_y\right)$$



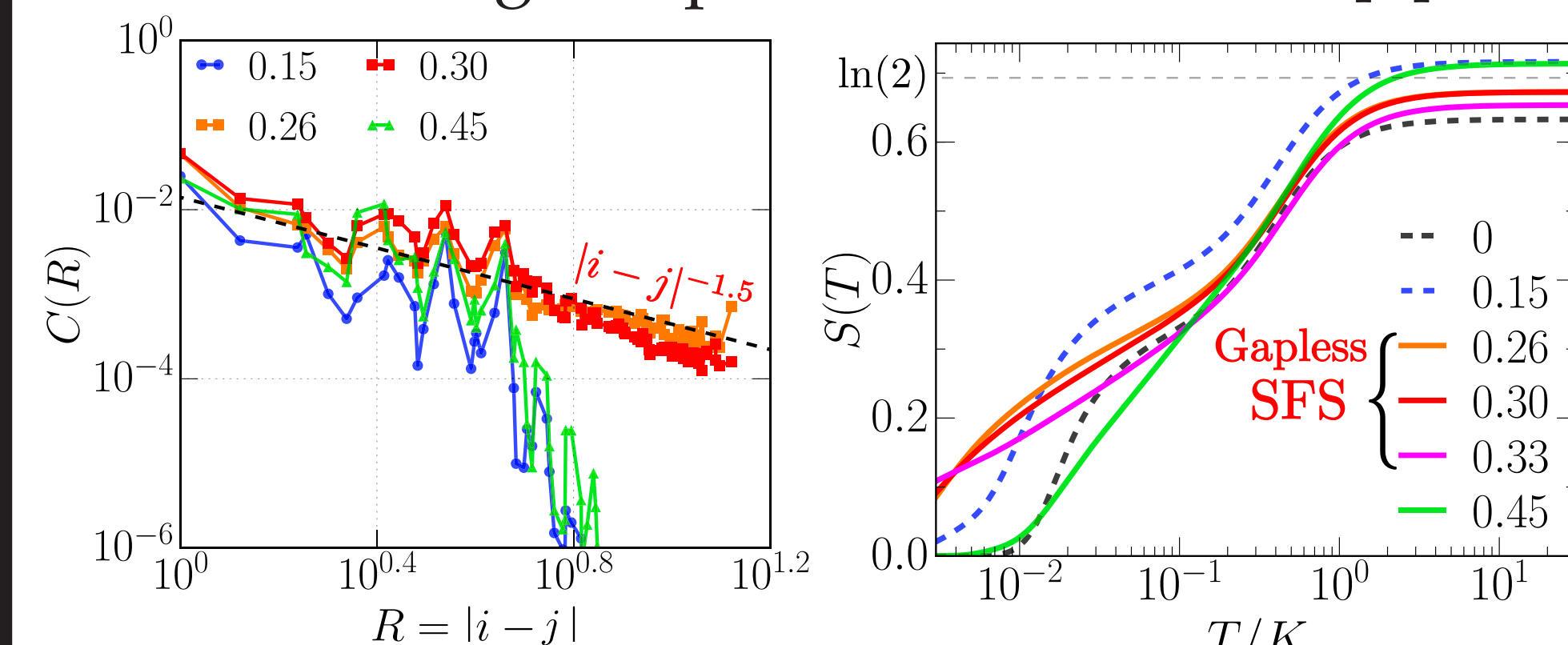
Sharp signature of fractionalization and gauge-matter hybridization in scattering experiment:



$S(\omega)$  and  $S(k, \omega)$  of the lowest excited mode given by the two spin flip operator  $\sigma_i^x \sigma_{i+z}^x$ .

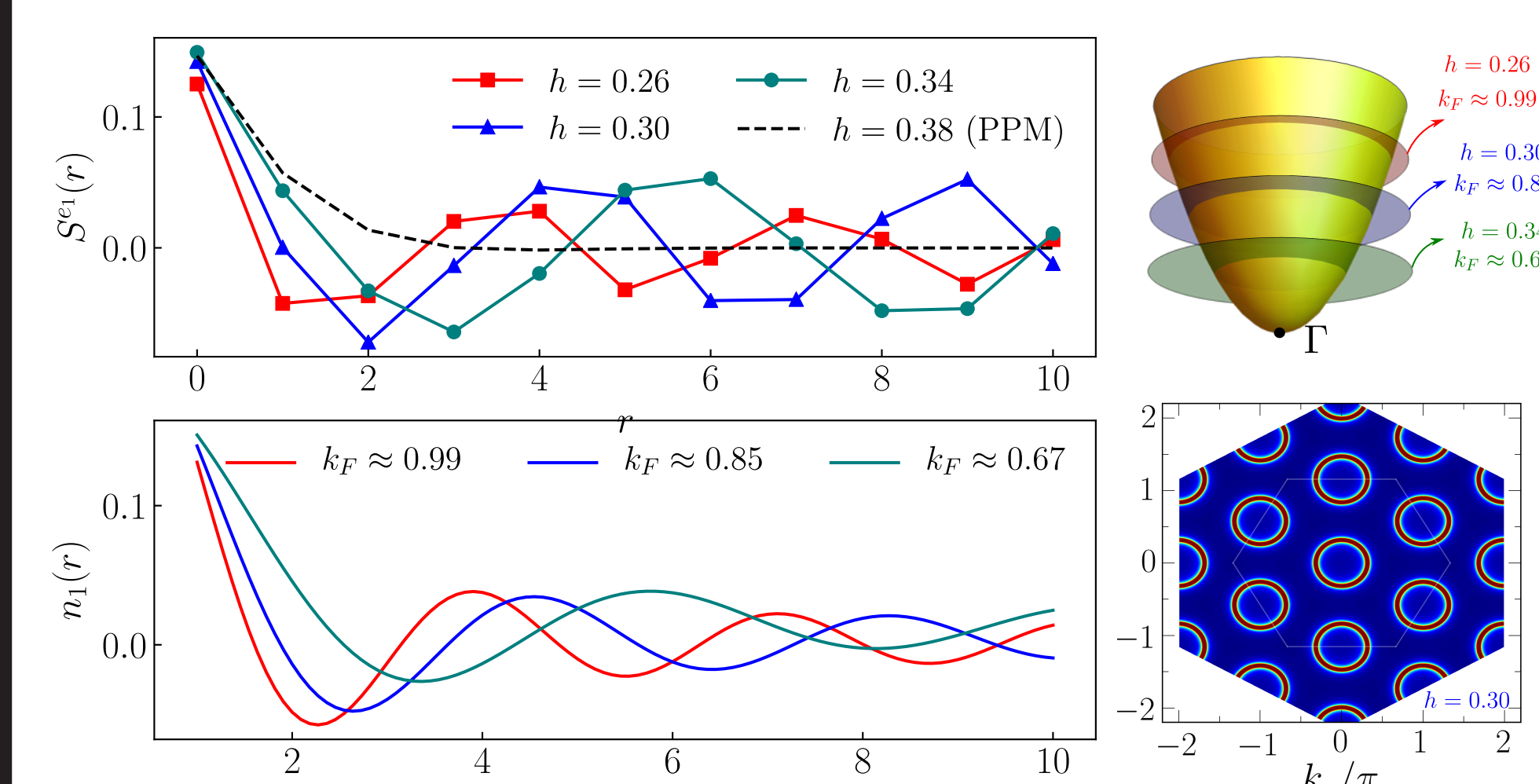
## SPINON FERMI SURFACE

The gapless QSL induced by an intermediate field has emergent spinon Fermi surface [2].

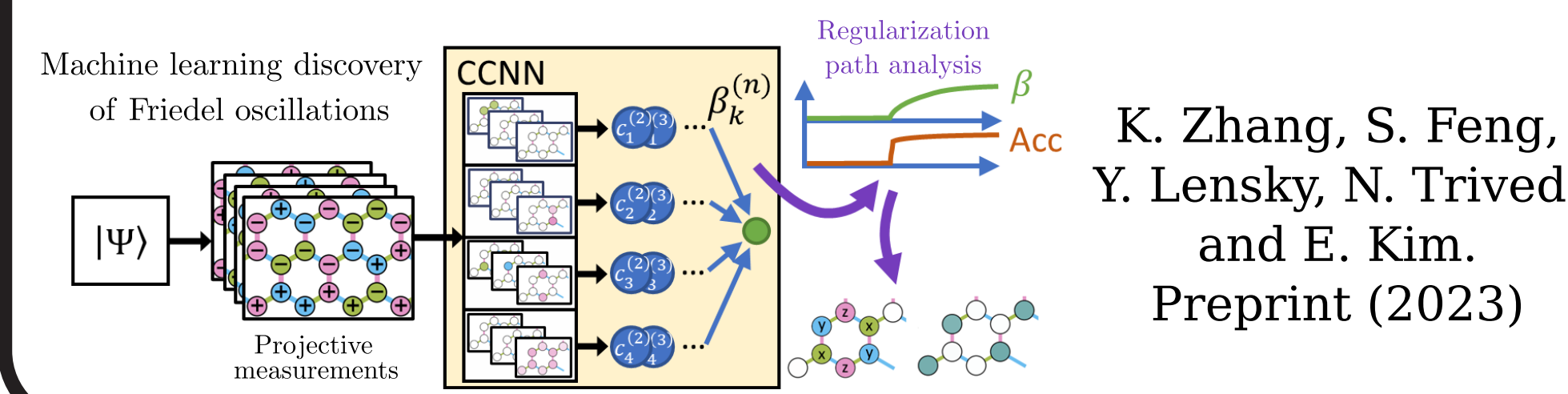


Friedel oscillations of spinons:

$$\langle S^{e1}(r) \rangle \sim \langle n_1(r) \rangle \sim \frac{k_F}{\pi} \left[ 1 - \frac{\sin(2k_F r)}{2k_F r} \right] + C$$



Evidence from machine learning:



K. Zhang, S. Feng, Y. Lensky, N. Trivedi and E. Kim. Preprint (2023)

## REFERENCES

- [1] Shi Feng, Adhip Agarwala, Subhro Bhattacharjee, and Nandini Trivedi. Anyon dynamics in field-driven phases of the anisotropic Kitaev model. *arXiv:2206.12990*, 2022.
- [2] Niravkumar D. Patel and Nandini Trivedi. Magnetic field-induced intermediate quantum spin liquid with a spinon Fermi surface. *Proceedings of the National Academy of Sciences*, 116(25):12199–12203, 2019.